



PTO/SB/08B (04-03)

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# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

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Page 1 of 2

## **Complete If Known**

Application Number 10/043,629  
Filing Date January 10, 2002  
First Named Inventor Budach  
Art Unit 2877  
Examiner Name to be assigned  
Attorney Docket Number 021430-000210US

## **U.S. PATENT DOCUMENTS**

Examiner	Cite No.	Document Number Number Kind Code* (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
PJK	AA	US-4,810,658	03/07/1989	Shenks et al.	
PJK	AB	US-4,026,387	05/09/1989	Sawyers et al.	
PJK	AC	US-4,952,056	08/28/1990	Tellenhaier	
PJK	AD	US-4,976,503	12/18/1990	Shenks et al.	
PJK	AE	US-4,992,385	02/12/1991	Godfrey	
PJK	AF	US-5,164,589	11/17/1992	Sidon	
PJK	AG	US-5,166,897	02/18/1993	Esoson et al.	
PJK	AH	US-5,192,502	03/09/1993	Aitridge et al.	
PJK	AI	US-5,242,828	09/07/1993	Bergstrom et al.	
PJK	AJ	US-5,312,729	06/17/1994	Allen et al.	
PJK	AK	US-5,374,593	12/20/1994	Maule	
PJK	AL	US-5,414,842	05/16/1995	Maule	
PJK	AM	US-5,436,893	06/18/1995	Maule	
PJK	AN	US-5,436,181	08/25/1995	Bergstrom et al.	
PJK	AO	US-5,468,820	11/21/1995	Molloy et al.	
PJK	AP	US-5,478,756	12/28/1995	Gizok et al.	
PJK	AQ	US-5,480,887	01/02/1996	Heming et al.	
PJK	AR	US-5,491,558	02/13/1996	Stewart et al.	
PJK	AS	US-5,462,840	02/20/1996	Maimqvist et al.	
PJK	AT	US-5,532,170	07/02/1996	Buckle et al.	
PJK	AU	US-5,654,541	09/10/1996	Maimqvist et al.	
PJK	AV	US-5,631,734	09/20/1997	Stern et al.	
PJK	AW	US-5,641,640	09/24/1997	Hanning	
PJK	AX	US-5,663,790	09/02/1997	Ekstrom et al.	
PJK	AY	US-5,716,654	02/10/1998	Lotás et al.	
PJK	AZ	US-5,770,462	09/23/1998	Molloy	
PJK	BA	US-5,822,472	10/13/1998	Danielzik et al.	
PJK	BB	US-5,830,768	11/03/1998	Aitridge et al.	
PJK	BC	US-5,874,219	02/23/1998	Rave et al.	
PJK	BD	US-5,898,503	04/27/1999	Keller et al.	
PJK	BE	US-5,912,456	00/15/1999	Melendez et al.	
PJK	BF	US-5,946,083	08/31/1999	Melendez et al.	
PJK	BG	US-5,955,729	09/21/1999	Nelson et al.	
PJK	BH	US-5,959,292	09/29/1999	Duveneck et al.	

Examiner Signature	PATRICK CONNELLY	Date Considered	10.15.2005
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of

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## **Complete if Known**

Application Number 10/043,629  
Filing Date January 10, 2002  
First Named Inventor Budach  
Art Unit 2877  
Examiner Name to be assigned  
Attorney Docket Number 021430-000210US

## **U.S. PATENT DOCUMENTS**

Examiner	Cite No. <sup>1</sup>	Document Number Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
ML	BI	US-5,965,456	10/12/1999	Malmqvist et al.	
ML	BJ	US-5,972,812	10/26/1999	Malmqvist et al.	
ML	BK	US-5,981,956	11/09/1999	Stern	
ML	BL	US-6,078,705	06/20/2000	Neuschäfer et al.	
ML	BM	US-6,093,538	07/25/2000	Drake et al.	
ML	BN	US-6,111,248	08/29/2000	Melendez et al.	
ML	BO	US-6,111,652	08/29/2000	Melendez et al.	
ML	BP	US-6,127,183	10/03/2000	Ivarsson et al.	
ML	BQ	US-6,141,096	10/31/2000	Stern et al.	
ML	BR	US-6,143,574	11/07/2000	Karlsson et al.	
ML	BS	US-6,183,886	02/08/2001	Elkind et al.	
ML	BT	US-6,191,847	02/20/2001	Melendez et al.	
ML	BU	US-6,198,869	03/06/2001	Kraus et al.	
ML	BV	US-6,200,814	03/13/2001	Malmqvist et al.	
ML	BW	US-6,203,989	03/20/2001	Goldberg et al.	
ML	BX	US-6,207,381	03/27/2001	Larsson et al.	
ML	BY	US-6,207,960	03/27/2001	Stern	
ML	BZ	US-6,211,954	04/03/2001	Danielzik et al.	
ML	CA	US-6,225,825	05/01/2001	Pirmung et al.	
ML	CB	US-6,245,576	06/12/2001	Molloy	
ML	CC	US-6,252,236	06/26/2001	Trulsson et al.	
ML	CD	US-6,289,144	09/11/2001	Neuschäfer et al.	
ML	CE	US-6,289,286	09/11/2001	Andersson et al.	
ML	CF	US-6,294,327	09/25/2001	Walton et al.	

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	†*
		Country Code <sup>2</sup>	Number <sup>3</sup>	Kind Code <sup>4</sup> (if known)				

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**INFORMATION DISCLOSURE CITATION**

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Sheet 1 of 1

ATTY. DOCKET NO.  
4-31018B/N1/USN  
APPLICATION NO.  
10/043,629  
APPLICANT  
BUDACH ET AL.  
FILING DATE  
JANUARY 10, 2002

Group  
2877

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
PJC	AA	6,218,194	4/14/01	Lyndin et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
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	AJ						
	AK						
	AL						

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	OFFICE	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
PJC	AM	WO 97/29362	8/14/97	PCT			<input type="checkbox"/>	<input type="checkbox"/>
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	AO						<input type="checkbox"/>	<input type="checkbox"/>
	AP						<input type="checkbox"/>	<input type="checkbox"/>
	AQ						<input type="checkbox"/>	<input type="checkbox"/>

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent pages, Etc.)

	AR	
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APPLICATION NO.  
Not Yet Assigned  
APPLICANT  
BUDACH ET AL.  
FILING DATE  
JANUARY 9, 2002

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Group  
TC 2800 MAIL ROOM

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SURCLASS	FILING DATE
<i>PJL</i>	AD	4,882,288	11/21/89	North et al.	<del>436</del>	<del>536</del>	
<i>PJL</i>	AE	4,931,384	8/5/90	Layton et al.	<del>485</del>	<del>7</del>	
<i>PJL</i>	AF	5,006,716	4/9/91	Hall	<del>260</del>	<del>436</del>	
<i>PJL</i>	AG	5,082,629	1/21/92	Burgess, Jr. et al.	<del>422</del>	<del>82.11</del>	
<i>PJC</i>	AA	5,208,111	5/4/93	Decher et al.	<del>428</del>	<del>420</del>	
<i>PJL</i>	AH	5,294,402	3/15/94	Schrepp et al.	<del>422</del>	<del>57</del>	
<i>PJL</i>	AI	5,455,178	10/3/95	Fattinger	<del>436</del>	<del>164</del>	
<i>PJL</i>	AB	5,712,705	1/27/98	Fattinger et al.	<del>360</del>	<del>354</del>	
<i>PJL</i>	AC	5,738,825	4/14/98	Helmud Rudigier et al.	<del>422</del>	<del>82.11</del>	
<i>PJL</i>	AJ	5,779,978	7/14/98	Hartmann et al.	<del>422</del>	<del>82.06</del>	
<i>PJL</i>	AK	5,846,843	12/8/98	Simon	<del>436</del>	<del>527</del>	
	AL						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	OFFICE	CLASS	SUBCLASS	TRANSLATION YES NO	
<i>PJL</i>	AM	0 178 083	4/16/86	Europe			<input type="checkbox"/>	<input type="checkbox"/>
<i>PJL</i>	AN	2 227 089	7/18/90	United Kingdom			<input type="checkbox"/>	<input type="checkbox"/>
<i>PJL</i>	AO	2 256 477	12/9/92	United Kingdom			<input type="checkbox"/>	<input type="checkbox"/>
<i>PJL</i>	AP	WO 90/03382	4/5/90	PCT			<input type="checkbox"/>	<input type="checkbox"/>
<i>PJL</i>	AQ	WO 91/16425	10/31/91	PCT(See Attached Abstract)			<input type="checkbox"/>	<input type="checkbox"/>

<i>PJL</i>	AR	Adlard, et al., The American Physical Society, Physical Review Letters, Vol. 79, No. 9, "Localization of One-Photon States", pp. 1585-1587, (1997)						
<i>PJL</i>	AS	Parriaux, et al., Pure Appl. Opt. 5, Printed in the UK, "Coupling gratings as waveguide functional elements", pp. 453-469 (1996)						
<i>PJL</i>	AT	Rosenblatt, et al., IEEE Journal of Quantum Electronics, Vol. 33, No. 11, "Resonant Grating Waveguide Structures", November 1997						

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*PATRICK CONNOLLY*

DATE CONSIDERED

*10.15.2003*

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FORIX PTO-1449  
(REV. 7-85)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



ATTY. DOCKET NO.  
4-310189/1  
APPLICATION NO.  
Not Yet Assigned  
APPLICANT  
BUDACH ET AL.  
FILING DATE  
JANUARY 9, 2002

Sheet 2 of 3

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TC 2800 MAIL ROOM

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	OFFICE	CLASS	SUBCLAS S	TRANSLATION	
							YES	NO
<i>PL</i>	AG	0 455 067 A2	11/6/91	Europe			<input type="checkbox"/>	<input type="checkbox"/>
<i>PL</i>	AA	WO 92/10092	6/25/92	PCT			<input type="checkbox"/>	<input type="checkbox"/>
<i>PL</i>	AB	WO 95/33197	12/7/95	PCT			<input type="checkbox"/>	<input type="checkbox"/>
<i>PL</i>	AC	WO 95/33198	12/7/95	PCT			<input type="checkbox"/>	<input type="checkbox"/>
<i>PL</i>	AD	WO 96/26432	8/29/96	PCT			<input type="checkbox"/>	<input type="checkbox"/>
<i>PL</i>	AH	WO 96/35940	11/14/96	PCT			<input type="checkbox"/>	<input type="checkbox"/>
<i>PL</i>	AE	WO 97/02357	1/23/97	PCT			<input type="checkbox"/>	<input type="checkbox"/>
<i>PL</i>	AI	WO 98/21571	5/22/98	PCT			<input type="checkbox"/>	<input type="checkbox"/>
<i>PL</i>	AF	WO 98/27430	6/25/98	PCT			<input type="checkbox"/>	<input type="checkbox"/>
<i>PL</i>	AJ	WO 99/13320	3/18/99	PCT			<input type="checkbox"/>	<input type="checkbox"/>
<i>PL</i>	AK	WO 99/26059	5/27/99	PCT			<input type="checkbox"/>	<input type="checkbox"/>
<i>PL</i>	AL	WO 00/75644 A1	12/21/00	PCT			<input type="checkbox"/>	<input type="checkbox"/>
<i>PL</i>	AM	WO 01/02839 A1	1/11/01	PCT			<input type="checkbox"/>	<input type="checkbox"/>
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OTHER DOCUMENTS (including Author, Title, Date, Pertinent pages, Etc.)

A76	AD	Dolder, et al., Derwent Abstracts, 1991-339813 [46], "Method for the Light-Induced Immobilization of Biomolecules on Chemically "Inert" Surfaces" (WO 91/16425 - 10/31/91)
A76	AA	Sychugov, et al., Sensors and Actuators, B 38-39, "Waveguide coupling fratings for high-sensitivity biochemical sensore", pp. 360-364 (1997)
A76	AB	Tyagi, et al., Nature Biotechnology, "Molecular Beacons: Probes that Fluoresce upon Hybridization", Vol. 14, pp. 303-308 (March 1996)
A76	AC	Wang, et al., Applied Optics, Vol. 32, No. 14, "Theory and application of guided-mode r sonance filters", (May 10, 1993)
A76	AE	Kogelnik, H., "Theory of Optical Waveguides", ed. Tamit, T., Guided-Wave Optoelectronics, pp. 7-88, Springer-Verlag:New York, (1988)
	AF	
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